

Problem 1(a):

The linear sigma model has scalar potential

$$V(\sigma, \underline{\pi}) = \frac{\lambda}{8} (\sigma^2 + \underline{\pi}^2 - f^2)^2 - \beta\sigma. \quad (\text{S.1})$$

Any local minimum of this potential satisfies

$$\begin{aligned} \frac{\partial V}{\partial \underline{\pi}} &= \frac{\lambda}{2} (\sigma^2 + \underline{\pi}^2 - f^2) \times \underline{\pi} = 0 \\ \text{and } \frac{\partial V}{\partial \sigma} &= \frac{\lambda}{2} (\sigma^2 + \underline{\pi}^2 - f^2) \times \sigma - \beta = 0, \end{aligned} \quad (\text{S.2})$$

which together imply $\underline{\pi} = 0$ while σ satisfies a cubic equation

$$\sigma(\sigma^2 - f^2) - \frac{2\beta}{\lambda} = 0. \quad (\text{S.3})$$

For small $\beta > 0$ this equation has three real solutions, namely

$$\sigma_1 \approx -\frac{2\beta}{\lambda f^2}, \quad \sigma_2 \approx -f + \frac{\beta}{\lambda f^2}, \quad \sigma_3 \approx +f + \frac{\beta}{\lambda f^2}. \quad (\text{S.4})$$

Furthermore, at $\underline{\pi} = 0$ the second derivatives of the potential are

$$\frac{\partial^2 V}{\partial \sigma^2} = \lambda(3\sigma^2 - f^2), \quad \frac{\partial^2 V}{\partial \pi_i \partial \pi_j} = \lambda(\sigma^2 - f^2)\delta_{ij}, \quad \frac{\partial^2 V}{\partial \sigma \partial \pi_i} = 0, \quad (\text{S.5})$$

which means that the stationary point σ_1 is the local maximum of $V(\sigma, \underline{\pi})$, the stationary point σ_2 is a saddle point, and only the σ_3 is a minimum. In other words, $V(\sigma, \underline{\pi})$ has a unique minimum at $\underline{\pi} = 0, \sigma = \sigma_3$, exactly as in eq. (2). *Q.E.D.*

Problem 1(b):

Let us shift the σ field by its vacuum expectation value $\sigma(x) = \langle \sigma \rangle + \tilde{\sigma}(x)$. Expanding the scalar potential into powers of the $\tilde{\pi}$ and $\tilde{\sigma}$ fields, we have

$$\begin{aligned} V(\tilde{\pi}, \tilde{\sigma}) &= \frac{\lambda}{8} \left(\tilde{\pi}^2 + (\langle \sigma \rangle + \tilde{\sigma})^2 - f^2 \right)^2 - \beta (\langle \sigma \rangle + \tilde{\sigma}) \\ &= \text{const} + V_2 + V_3 + V_4 \end{aligned} \quad (\text{S.6})$$

where

$$\begin{aligned} V_4 &= \frac{\lambda}{8} \times (\tilde{\pi}^2 + \tilde{\sigma}^2)^2, \\ V_3 &= \frac{\lambda \langle \sigma \rangle}{2} \times \tilde{\sigma} \times (\tilde{\pi}^2 + \tilde{\sigma}^2) \\ V_2 &= \frac{\lambda}{4} (\langle \sigma \rangle^2 - f^2) \times \tilde{\pi}^2 + \frac{\lambda}{4} (3 \langle \sigma \rangle^2 - f^2) \times \tilde{\sigma}^2 \\ &= \frac{\beta}{2 \langle \sigma \rangle} \times \tilde{\pi}^2 + \left(\frac{\beta}{2 \langle \sigma \rangle} + \frac{\lambda \langle \sigma \rangle^2}{2} \right) \times \tilde{\sigma}^2, \end{aligned} \quad (\text{S.7})$$

and the last equality follows from eq. (S.2) for the $\langle \sigma \rangle$. Consequently, we expand the while Lagrangian into powers of $\tilde{\pi}(x)$ and $\tilde{\sigma}(x)$ and write

$$\mathcal{L} = \mathcal{L}_{\text{free}} + \mathcal{L}_{\text{int}} + \text{const} \quad (\text{S.8})$$

where

$$\begin{aligned} \mathcal{L}_{\text{free}} &= \mathcal{L}_{\text{kin}} - V_2(\tilde{\pi}, \tilde{\sigma}) \\ &= \left[\frac{1}{2} (\partial_\mu \tilde{\sigma})^2 - \left(\frac{\beta}{2 \langle \sigma \rangle} + \frac{\lambda \langle \sigma \rangle^2}{2} \right) \times \tilde{\sigma}^2 \right] + \left[\frac{1}{2} (\partial_\mu \tilde{\pi})^2 - \frac{\beta}{2 \langle \sigma \rangle} \times \tilde{\pi}^2 \right] \end{aligned} \quad (\text{S.9})$$

describes the free $\tilde{\pi}$ and $\tilde{\sigma}$ fields, and

$$L_{\text{int}} = -V_3(\tilde{\pi}, \tilde{\sigma}) - V_4(\tilde{\pi}, \tilde{\sigma}) \quad (\text{S.10})$$

describes their interactions. The particles' masses follow from the quadratic Lagrangian (S.9):

$$\begin{aligned} M_\pi^2 &= \frac{\beta}{\langle \sigma \rangle} \approx \frac{\beta}{f}, \\ \text{and } M_\sigma^2 &= M_\pi^2 + \lambda \langle \sigma \rangle^2 \approx \lambda f^2 \gg M_\pi^2. \end{aligned} \quad \text{Q.E.D.}$$

Problem 2(a):

Combining definitions (4) with commutation relations (3), we immediately calculate

$$[\hat{b}_{\mathbf{k}}, \hat{b}_{\mathbf{k}'}] = \cosh(t_{\mathbf{k}}) \sinh(t_{\mathbf{k}'}) \delta_{\mathbf{k}, -\mathbf{k}'} - \sinh(t_{\mathbf{k}}) \cosh(t_{\mathbf{k}'}) \delta_{-\mathbf{k}, \mathbf{k}'} = 0 \quad (\text{S.11})$$

where the second equality follows from $t_{\mathbf{k}} = t_{\mathbf{k}'}$ for $\mathbf{k} = -\mathbf{k}'$. Likewise, $[\hat{b}_{\mathbf{k}}^\dagger, \hat{b}_{\mathbf{k}'}^\dagger] = 0$. Finally,

$$\begin{aligned} [\hat{b}_{\mathbf{k}}, \hat{b}_{\mathbf{k}'}^\dagger] &= \cosh(t_{\mathbf{k}}) \cosh(t_{\mathbf{k}'}) \delta_{\mathbf{k}, \mathbf{k}'} - \sinh(t_{-\mathbf{k}}) \sinh(t_{-\mathbf{k}'}) \delta_{-\mathbf{k}, -\mathbf{k}'} \\ &= \delta_{\mathbf{k}, \mathbf{k}'} \left(\cosh^2(t_{\mathbf{k}}) - \sinh^2(t_{\mathbf{k}}) = 1 \right). \end{aligned} \quad (\text{S.12})$$

In other words, the $\hat{b}_{\mathbf{k}}$ and $\hat{b}_{\mathbf{k}}^\dagger$ operators satisfy the same bosonic commutations relations

$$[\hat{b}_{\mathbf{k}}, \hat{b}_{\mathbf{k}'}] = 0, \quad [\hat{b}_{\mathbf{k}}^\dagger, \hat{b}_{\mathbf{k}'}^\dagger] = 0, \quad [\hat{b}_{\mathbf{k}}, \hat{b}_{\mathbf{k}'}^\dagger] = \delta_{\mathbf{k}, \mathbf{k}'} . \quad (\text{S.13})$$

as the original $\hat{a}_{\mathbf{k}}$ and $\hat{a}_{\mathbf{k}}^\dagger$ operators. *Q.E.D.*

Problem 2(b):

A straightforward calculation shows that

$$\sum_{\mathbf{k}} \omega_{\mathbf{k}} \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}} = \sum_{\mathbf{k}} \omega_{\mathbf{k}} \cosh(2t_{\mathbf{k}}) \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} + \frac{1}{2} \sum_{\mathbf{k}} \omega_{\mathbf{k}} \sinh(2t_{\mathbf{k}}) \left(\hat{a}_{\mathbf{k}}^\dagger \hat{a}_{-\mathbf{k}}^\dagger + \hat{a}_{-\mathbf{k}} \hat{a}_{\mathbf{k}} \right) + \text{const.} \quad (\text{S.14})$$

Therefore, the Hamiltonian (5) can be “diagonalized” in terms of the transformed creation / annihilation operators (4) if and only if we can solve for $\omega_{\mathbf{k}}$ and $t_{\mathbf{k}}$ such that

$$\omega_{\mathbf{k}} \cosh(2t_{\mathbf{k}}) = A_{\mathbf{k}} \quad \text{and} \quad \omega_{\mathbf{k}} \sinh(2t_{\mathbf{k}}) = B_{\mathbf{k}} . \quad (\text{S.15})$$

The latter equations are solvable whenever $A_{\mathbf{k}} > |B_{\mathbf{k}}|$ and the solution is

$$t_{\mathbf{k}} = \frac{1}{2} \operatorname{artanh} \frac{B_{\mathbf{k}}}{A_{\mathbf{k}}} \quad \text{and} \quad \omega_{\mathbf{k}} = \sqrt{A_{\mathbf{k}}^2 - B_{\mathbf{k}}^2} . \quad (\text{S.16})$$

Q.E.D.

Problem 2(c):

In terms of the momentum modes $\tilde{a}_{\mathbf{k}}$ and $\tilde{a}_{\mathbf{k}}^\dagger$ of the shifted fields $\hat{\phi}(\mathbf{x})$ and $\hat{\phi}^\dagger(\mathbf{x})$, the free Hamiltonian (8) becomes

$$\hat{H}_{\text{free}} = \sum_{\mathbf{k}} \left[\left(\frac{\mathbf{k}^2}{2M} + \lambda n \right) \tilde{a}_{\mathbf{k}}^\dagger \tilde{a}_{\mathbf{k}} + \frac{\lambda n}{2} \left(\tilde{a}_{\mathbf{k}} \tilde{a}_{-\mathbf{k}} + \tilde{a}_{-\mathbf{k}}^\dagger \tilde{a}_{\mathbf{k}}^\dagger \right) \right]. \quad (\text{S.17})$$

This Hamiltonian has form (5) in term of the shifted annihilation and creation operators $\tilde{a}_{\mathbf{k}} = \hat{a}_{\mathbf{k}} + \sqrt{N} \delta_{b_p, \mathbf{0}}$ and $\tilde{a}_{\mathbf{k}}^\dagger = \hat{a}_{\mathbf{k}}^\dagger + \sqrt{N} \delta_{b_p, \mathbf{0}}$, which obviously satisfy the same bosonic commutation relations as the un-shifted operators $\hat{a}_{\mathbf{k}}$ and $\hat{a}_{\mathbf{k}}^\dagger$. Therefore, we may diagonalize this Hamiltonian by means of the Bogolyubov transform

$$\begin{aligned} \hat{b}_{\mathbf{k}} &= \cosh(t_{\mathbf{k}}) \times \tilde{a}_{\mathbf{k}} + \sinh(t_{\mathbf{k}}) \times \tilde{a}_{-\mathbf{k}}^\dagger, \\ \hat{b}_{\mathbf{k}}^\dagger &= \cosh(t_{\mathbf{k}}) \times \tilde{a}_{\mathbf{k}}^\dagger + \sinh(t_{\mathbf{k}}) \times \tilde{a}_{-\mathbf{k}}, \end{aligned} \quad (\tilde{4})$$

for suitable parameters $t_{\mathbf{k}} = t_{-\mathbf{k}}$. Specifically, according to eqs. (S.16), we let

$$t_{\mathbf{k}} = \frac{1}{2} \operatorname{artanh} \frac{\lambda n}{\frac{\mathbf{k}^2}{2M} + \lambda n} = \frac{1}{4} \log \left(1 + \frac{4\lambda n M}{\mathbf{k}^2} \right), \quad (\text{S.18})$$

and then the free Hamiltonian (S.17) takes form (9) for

$$\omega_{\mathbf{k}} = \sqrt{\left(\frac{\mathbf{k}^2}{2M} + \lambda n \right)^2 - (\lambda n)^2} = |\mathbf{k}| \times \sqrt{\frac{\lambda n}{M} + \frac{\mathbf{k}^2}{4M^2}}. \quad (10)$$

Q.E.D.

Problem 2(*):

The key to this exercise is the multiple commutator formula: For any two operators \hat{F} and \hat{G} ,

$$\begin{aligned} e^{+\hat{F}} \hat{G} e^{-\hat{F}} &= \sum_{n=0}^{\infty} \frac{1}{n!} [\hat{F}, \dots [\hat{F}, \hat{G}] \dots]_{n \text{ times}} \\ &= \hat{G} + [\hat{F}, \hat{G}] + \frac{1}{2} [\hat{F}, [\hat{F}, \hat{G}]] + \frac{1}{6} [\hat{F}, [\hat{F}, [\hat{F}, \hat{G}]]] + \dots \end{aligned} \quad (\text{S.19})$$

Now, let \hat{F} be as in eq. (11) and let \hat{G} be one of the $\tilde{a}_{\mathbf{k}}$ or $\tilde{a}_{b_p}^\dagger$. The simple commutators $[\hat{F}, \hat{G}]$

follow directly from the bosonic commutation relations:

$$[\hat{F}, \tilde{a}_{\mathbf{k}}] = t_{\mathbf{k}} \tilde{a}_{-\mathbf{k}}^{\dagger} \quad \text{and} \quad [\hat{F}, \tilde{a}_{\mathbf{k}}^{\dagger}] = t_{\mathbf{k}} \tilde{a}_{-\mathbf{k}}. \quad (\text{S.20})$$

Consequently,

$$[\hat{F}, \dots [\hat{F}, \tilde{a}_{\mathbf{k}}] \dots]_{n \text{ times}} = (t_{\mathbf{k}})^n \times \begin{cases} \tilde{a}_{\mathbf{k}} & \text{for even } n, \\ \tilde{a}_{-\mathbf{k}}^{\dagger} & \text{for odd } n, \end{cases} \quad (\text{S.21})$$

and therefore eq. (S.19) yields

$$\begin{aligned} e^{+\hat{F}} \tilde{a}_{\mathbf{k}} e^{-\hat{F}} &= \sum_{\text{even } n} \frac{(t_{\mathbf{k}})^n}{n!} \times \tilde{a}_{\mathbf{k}} + \sum_{\text{odd } n} \frac{(t_{\mathbf{k}})^n}{n!} \times \tilde{a}_{-\mathbf{k}}^{\dagger} \\ &= \cosh(t_{\mathbf{k}}) \times \tilde{a}_{\mathbf{k}} + \sinh(t_{\mathbf{k}}) \times \tilde{a}_{-\mathbf{k}}^{\dagger} \\ &= \hat{b}_{\mathbf{k}}. \end{aligned} \quad (\text{S.22})$$

And since \hat{F} is anti-Hermitian, we also have

$$e^{+\hat{F}} \tilde{a}_{\mathbf{k}}^{\dagger} e^{-\hat{F}} = \left(e^{+\hat{F}} \tilde{a}_{\mathbf{k}} e^{-\hat{F}} \right)^{\dagger} = \hat{b}_{\mathbf{k}}^{\dagger}, \quad (\text{S.23})$$

and hence the bosonic commutation relations (S.13) follow from the relations (3) via unitary equivalence (S.22) and (S.23).

Finally, for the quantum state $|\Omega_2\rangle = e^{+\hat{F}} |\text{coh}\rangle$ we have

$$\hat{b}_{\mathbf{k}} |\Omega_2\rangle = \left(e^{+\hat{F}} \tilde{a}_{\mathbf{k}} e^{-\hat{F}} \right) \left(e^{+\hat{F}} |\text{coh}\rangle \right) = e^{+\hat{F}} \times \tilde{a}_{\mathbf{k}} |\text{coh}\rangle = 0$$

because $\tilde{a}_{\mathbf{k}} |\text{coh}\rangle = 0$ for all momenta \mathbf{k} . Therefore, from the point of view of quasi-particles created by the $\hat{b}_{\mathbf{k}}^{\dagger}$ operators and annihilated by the $\hat{b}_{\mathbf{k}}$, the $|\Omega_2\rangle$ is the *vacuum state*.

Problem 2(d):

In terms of atomic creation and annihilation operators

$$\hat{\mathbf{P}}_{\text{tot}} = \sum_{\mathbf{k}} \mathbf{k} \times \hat{a}_{\mathbf{k}}^\dagger \hat{a}_{\mathbf{k}} = \sum_{\mathbf{k} \neq \mathbf{0}} \mathbf{k} \times \tilde{a}_{\mathbf{k}}^\dagger \tilde{a}_{\mathbf{k}}, \quad (\text{S.24})$$

hence

$$[\hat{\mathbf{P}}_{\text{tot}}, \tilde{a}_{\mathbf{k}}^\dagger] = +\mathbf{k} \times \tilde{a}_{\mathbf{k}}^\dagger \quad \text{and} \quad [\hat{\mathbf{P}}_{\text{tot}}, \tilde{a}_{\mathbf{k}}] = -\mathbf{k} \times \tilde{a}_{\mathbf{k}}. \quad (\text{S.25})$$

Consequently, for any $t_{\mathbf{k}}$ coefficients, the Bogolyubov-transformed operators ($\hat{4}$) operators satisfy

$$[\hat{\mathbf{P}}_{\text{tot}}, \hat{b}_{\mathbf{k}}^\dagger] = +\mathbf{k} \times \hat{b}_{\mathbf{k}}^\dagger \quad \text{and} \quad [\hat{\mathbf{P}}_{\text{tot}}, \hat{b}_{\mathbf{k}}] = -\mathbf{k} \times \hat{b}_{\mathbf{k}}, \quad (\text{S.26})$$

which means that the quasiparticle created by the $\hat{b}_{\mathbf{k}}^\dagger$ operator and annihilated by the $\hat{b}_{\mathbf{k}}$ has definite momentum \mathbf{k} .

Also, straightforward algebra shows that for any $\mathbf{k} \neq \mathbf{0}$ and $t_{\mathbf{k}} = t_{-\mathbf{k}}$

$$\hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}} - \hat{b}_{-\mathbf{k}}^\dagger \hat{b}_{-\mathbf{k}} = \tilde{a}_{\mathbf{k}}^\dagger \tilde{a}_{\mathbf{k}} - \tilde{a}_{-\mathbf{k}}^\dagger \tilde{a}_{-\mathbf{k}}. \quad (\text{S.27})$$

Consequently,

$$\begin{aligned} \hat{\mathbf{P}}_{\text{tot}} &= \sum_{\mathbf{k} \neq \mathbf{0}} \mathbf{k} \times \tilde{a}_{\mathbf{k}}^\dagger \tilde{a}_{\mathbf{k}} \\ &= \sum_{\mathbf{k} \neq \mathbf{0}} \frac{\mathbf{k}}{2} \left(\tilde{a}_{\mathbf{k}}^\dagger \tilde{a}_{\mathbf{k}} - \tilde{a}_{-\mathbf{k}}^\dagger \tilde{a}_{-\mathbf{k}} \right) \\ &= \sum_{\mathbf{k} \neq \mathbf{0}} \frac{\mathbf{k}}{2} \left(\hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}} - \hat{b}_{-\mathbf{k}}^\dagger \hat{b}_{-\mathbf{k}} \right) \\ &= \sum_{\mathbf{k} \neq \mathbf{0}} \mathbf{k} \times \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}}. \end{aligned} \quad (\text{S.28})$$

In other words, the total mechanical momentum of the superfluid helium is simply the net momentum of all the quasi-particles.

Problem 2(e):

Combining eqs. (S.18) and (4) we immediately see that for large momenta \mathbf{k} ,

$$t_{\mathbf{k}} \approx \frac{\lambda n M}{\mathbf{k}^2} \ll 1 \implies \cosh(t_{\mathbf{k}}) \approx 1, \quad \sinh(t_{\mathbf{k}}) \approx t_{\mathbf{k}} \ll 1, \quad (\text{S.29})$$

and therefore

$$\hat{b}_{\mathbf{k}}^\dagger \approx \tilde{a}_{\mathbf{k}}^\dagger + t_{\mathbf{k}} \tilde{a}_{-\mathbf{k}} \approx \tilde{a}_{\mathbf{k}}^\dagger = \hat{a}_{\mathbf{k}}^\dagger. \quad (\text{S.30})$$

Thus, quasi-particles with large momenta are approximately atoms.

On the other hand, for small momenta \mathbf{k} (but $\mathbf{k} \neq \mathbf{0}$),

$$t_{\mathbf{k}} \approx \frac{1}{4} \log \frac{4\lambda n M}{\mathbf{k}^2} \gg 1 \implies \cosh(t_{\mathbf{k}}) \approx \sinh(t_{\mathbf{k}}) \approx \frac{1}{2} e^{t_{\mathbf{k}}} \approx \frac{1}{2} \sqrt[4]{\frac{4\lambda n M}{\mathbf{k}^2}} \gg 1, \quad (\text{S.31})$$

and therefore

$$\hat{b}_{\mathbf{k}}^\dagger \approx \frac{1}{2} \sqrt[4]{\frac{4\lambda n M}{\mathbf{k}^2}} \times \left(\tilde{a}_{\mathbf{k}}^\dagger + \tilde{a}_{-\mathbf{k}} \right). \quad (\text{S.32})$$

At the same time, the density fluctuations in the superfluid are measured by the operators

$$\widehat{\delta n}(\mathbf{x}) \equiv \hat{\Psi}^\dagger(\mathbf{x})\hat{\Psi}(\mathbf{x}) - \langle n \rangle = \sqrt{\langle n \rangle} \left(\hat{\varphi}^\dagger(\mathbf{x}) + \hat{\varphi}(\mathbf{x}) \right) + \hat{\varphi}^\dagger(\mathbf{x})\hat{\varphi}(\mathbf{x}). \quad (\text{S.33})$$

Approximating this formula by its leading first-order terms and then taking a Fourier transform, we find

$$\widehat{\delta n}_{\mathbf{k}} \approx \sqrt{n} \left(\tilde{a}_{\mathbf{k}}^\dagger + \tilde{a}_{-\mathbf{k}} \right). \quad (\text{S.34})$$

Hence, the physical meaning of eq. (S.32) is

$$\text{for small } \mathbf{k}, \quad \hat{b}_{\mathbf{k}}^\dagger \approx (\text{coeff}) \times \widehat{\delta n}_{\mathbf{k}}, \quad (\text{S.35})$$

or in other words, for small momenta, the quasiparticle created by the $\hat{b}_{\mathbf{k}}^\dagger$ operator is the density wave in the superfluid, *i.e.* a phonon.

Problem 3(f):

Non-relativistic mechanics — classical or quantum — is invariant under Galilean boosts, which act on coordinates, momenta and energy according to

$$t' = t, \quad \mathbf{x}'_i = \mathbf{x}_i + \mathbf{v}t, \quad \mathbf{p}'_i = \mathbf{p}_i + m_i\mathbf{v}, \quad H' = H + \mathbf{v} \cdot \mathbf{P}_{\text{total}} + \text{const.} \quad (\text{S.36})$$

A superfluid flowing at a uniform velocity \mathbf{v} is related to the same superfluid at rest via a Galilean boost. Consequently, the lab-frame Hamiltonian of the flowing superfluid is

$$\begin{aligned} (\hat{H} - \mu\hat{N})_{\text{frame}}^{\text{lab}} &= (\hat{H} - \mu\hat{N})_{\text{frame}}^{\text{fluid}} + \mathbf{v} \cdot \hat{\mathbf{P}}_{\text{frame}}^{\text{fluid}} + \text{const} \\ &= (\hat{H}_{\text{free}} + \mathbf{v} \cdot \hat{\mathbf{P}})_{\text{frame}}^{\text{fluid}} + \hat{H}_{\text{int}} + \text{const} \end{aligned} \quad (\text{S.37})$$

where

$$\hat{H}_{\text{free}}^{\text{lab frame}} = (\hat{H}_{\text{free}} + \mathbf{v} \cdot \hat{\mathbf{P}})_{\text{frame}}^{\text{fluid}} = \sum_{\mathbf{k}} (\omega_{\mathbf{k}} + \mathbf{v} \cdot \mathbf{k}) \hat{b}_{\mathbf{k}}^\dagger \hat{b}_{\mathbf{k}}. \quad (\text{S.38})$$

(The quasiparticle momenta \mathbf{k} in this formula are in the fluid's rest frame.)

Eq. (S.38) applies to a flow of an ideal gas just as well as a flow of a superfluid, the only difference being in the dispersion relations $\omega(\mathbf{k})$. For the ideal gas, $\omega = \mathbf{k}^2/2M$, and therefore for any non-zero velocity \mathbf{v} , there are modes \mathbf{k} for which

$$\omega_{\mathbf{k}} + \mathbf{v} \cdot \mathbf{k} = \frac{(\mathbf{k} - M\mathbf{v})^2}{2M} - \frac{1}{2}M\mathbf{v}^2 < 0. \quad (\text{S.39})$$

For such modes, excitations created by the $\hat{b}_{\mathbf{k}}^\dagger$ have negative energy, which means that any perturbation of the flowing gas can create such negative-energy excitations and slow down the flow.

For the superfluid however, $\omega_{\mathbf{k}} \geq v_c|\mathbf{k}|$ for all modes, hence

$$\omega_{\mathbf{k}} + \mathbf{v} \cdot \mathbf{k} \geq (v_c - |\mathbf{v}|)|\mathbf{k}| \geq 0 \quad (\text{S.40})$$

for all the excitation modes, provided $|\mathbf{v}| \leq v_0$. Consequently, *all* excitations in the flowing superfluid have positive lab-frame energies, so they don't get spontaneously created and the flow persists forever without dissipation, thus *superfluidity*.